TN40 Regulatory and Specialty Matters Code Set

Support of consultations regarding particular areas of expertise.

TN40.10 Antitrust / Competition

Analyzing filing requirements and possible antitrust overlaps, preparing competition law filings, responding to information requests from regulators, meetings and negotiations with regulators, and implementing any regulatory required actions (e.g., hold separate commitments, asset dispositions).

TN40.15 Data Security/Privacy/Data Protection/Cyber Security

Data security, privacy, and cybersecurity due diligence. Includes assessment of risks and maturity of security systems, tactical and strategic fixes to improve security and their related costs, potential or actual data breaches, system vulnerabilities, overall security posture, potential liabilities, and impact on valuations.

TN40.20 Employment, Labor and Employee Benefits

The analysis of human resource issues (excluding Executive Compensation) in an acquisition (e.g., WARN Act requirements, relative pay scales and practices, title mapping, etc.), employee benefit issues (e.g., 401(k) mergers, health and welfare plan analysis and harmonization, leave policy issues), trade union and works council matters (e.g., notices or consultations, renegotiation of union contracts, etc.), and immigration matters.

TN40.25 Executive Compensation

The analysis of employment agreements, severance arrangements, equity award plans and agreements, change-in-control payments, retention or “Stay” agreements and nonqualified deferred compensation arrangements and other incentive compensation arrangements. Preparation of “golden parachute” (Section 280G) vote materials, if applicable. Consideration of post-acquisition executive benefits to be provided to senior management.

TN40.30 Environmental

Environmental due diligence, transfer of environmental permits, and other environmental matters.

© 2018 Association of Legal Administrators.
All rights reserved worldwide.

TN40.35 Intellectual Property and Technology

Intellectual property and technology due diligence. Includes drafting, negotiating and amending licenses of intellectual property and technology assets.

TN40.40 Real Property

Real property due diligence. Includes drafting, negotiating and amending real property transfer documents, assigning leases, landlord consents, land use regulations.

TN40.45 Securities Regulatory Matters

Drafting and filing any required registration statements, proxy statements and Reports/Circulars, and no action requests.

TN40.50 Tax

Transaction structuring. Includes tax due diligence, negotiation of tax allocations, and indemnifications and representations.

© 2018 Association of Legal Administrators.
All rights reserved worldwide.